## SUPERIOR COURT OF CALIFORNIA COUNTY OF MONTEREY

# CERTIFICATE OF REHABILITATION AND PARDON INSTRUCTION PACKET



FORMS INCLUDED IN THIS PA	ACKET
General Information-Certificate of Rehabilitation	
General Information-Pardon	
Instruction Sheet-Certificate of Rehabilitation & Pardon	
Petition for Certificate of Rehabilitation & Pardon	CA Dept. Corrections & Rehab. Form 1
Notice of filing of Petition for Certificate of Rehabilitation & Pardon	CA Dept. Corrections & Rehab. Form 2
Certificate of Rehabilitation	CA Dept. Corrections & Rehab. Form 3

#### Certificates of Rehabilitation in Monterey County Filing Instructions

- 1. You must be a resident of Monterey County to file a certificate of rehabilitation in Monterey County. However, the offense may have occurred in any county in California.
- 2. Complete the Notice of Filing for Certificate of Rehabilitation. Leave the date, time, and department blank. Make five copies of the Notice of Filing for Certificate of Rehabilitation.
- 3. Complete the Petition for Certificate of Rehabilitation. Make one copy of the Petition for Certificate of Rehabilitation and Pardon.
- 4. File both forms with the court. The clerk will not charge you any money for filing the petition. (Pen. Code, § 4852.09.)
- 5. Ask the clerk to set the date, time, and department for the hearing. There is space for the court to fill this information in on the Notice of Petition for Certificate of Rehabilitation. Make sure the clerk leaves enough days for you to serve the documents on the people designated below at least 30 days before the hearing. Add 5 extra days if service is by mail.
- 6. Ask the clerk to file-stamp your copies of the Petition for Certificate of Rehabilitation and the Notice of Filing for Certificate of Rehabilitation.
- 7. You will need to present proof of service to the court. At least 30 days prior to the hearing (35 days if sent by mail), serve the copies of the Notice of Filing for Certificate of Rehabilitation and Pardon on:

California Governor State Capitol Building Sacramento, CA 95814 District Attorney County of Monterey 142 West Alisal St. Suite A Salinas, CA 93901 The district attorney in the California county in which you were convicted if different than Monterey.

- 8. File with the court the proof of service for the Notice of Filing for Certificate of Rehabilitation. The proof of service that you file should be stapled to the Notice of Filing for Certificate of Rehabilitation.
- 9. You will then hear from the District Attorney's Office, which will request information from you. Answer their questions.
- 10. Attend the hearing.

#### How to Apply for a Pardon

#### **State of California**

#### Office of the Governor

#### **Statement of Philosophy**

A California Governor's pardon is an honor traditionally granted only to individuals who have demonstrated exemplary behavior following conviction for a felony. A pardon will not be granted unless it has been earned. Obtaining a pardon is a distinct achievement based upon proof of a useful, productive, and law-abiding life following conviction. The Governor has complete discretion in deciding whether to grant a pardon. A pardon is a privilege—not a right—and not granted to every person who applies.

Absent extraordinary and compelling circumstances, an application will not be considered unless the applicant has been discharged from probation or parole for at least 10 years without further criminal activity during that period. The 10-year rule may be waived in truly exceptional circumstances (for example, factual innocence), if the applicant can demonstrate such circumstances warranting a specific need for the pardon.

#### **Pardons**

Applications may be accepted from any person who has been convicted in California of a felony or certain specified misdemeanor sex offenses. In most cases, the first step in applying is to obtain a Certificate of Rehabilitation from the superior court in the county where the applicant currently resides. All other cases are by way of a direct or "traditional pardon" application. The procedure utilized will depend on the circumstances of each applicant, as explained below.

Once an application is filed under either procedure, the case is referred to the Board of Prison Terms (Board) for investigation. The Board may contact the district attorney, investigating law enforcement agency, and other persons with relevant information on the applicant.

No fee is charged for applying for a pardon.

#### Effect of a Pardon

A pardon does not seal the individual's criminal record, and the pardon is itself a public record. When a pardon is granted, the California Department of Justice and the Federal Bureau of Investigation are notified so that they may update their records on the applicant. The pardon is filed with the Secretary of State, reported to the Legislature, and is a public record.

#### **Restoration of Rights**

The most frequent reasons for requesting a pardon are for personal satisfaction and for licensing, bonding, or other employment purposes.

A pardon does not seal or expunge the record of the conviction. (Penal Code sec. 4852.17.) If the person is subsequently convicted of a new offense, the prior conviction may be considered even after a pardon has been granted. A person who has been pardoned <u>cannot</u> say that he or she has no record of arrests or convictions. The person can, however, say that he or she has been convicted and pardoned.

A pardon is not necessary to vote. An individual convicted of a felony becomes eligible to vote in California after successful discharge from probation or parole. (California Constitution, art. II, sec. 4.) A person who receives a pardon may serve on a jury. (Code of Civil Procedure sec. 203(a)(5) and Penal Code sec. 4852.17.)

A person convicted of a felony who receives a full and unconditional pardon may be employed as a state parole officer or as a county probation officer but cannot otherwise be employed as a peace officer. (Gov. Code sec. 1029.)

A person convicted of a felony cannot own or possess firearms. (Penal Code sec. 12021.) If the individual receives a full and unconditional pardon, he or she may own or possess any type of weapon that may lawfully be possessed by others in California, <u>unless</u> the person was convicted of an offense that involved the use of a dangerous weapon. A California pardon does not necessarily permit the possession of weapons under the laws of another state or the federal government. The law governing the right to own or possess firearms can be found in Penal Code section 4854.

The granting of a pardon does not prevent some licensing agencies from still considering the conviction in determining whether a license should be granted to practice certain professions. The law regarding licensing determinations can be found in Penal Code section 4853.

The Governor of California cannot grant a pardon for a conviction suffered in another jurisdiction, such as another state or a federal proceeding. A person convicted in another state must apply for a pardon in that state. Federal pardon information can be obtained from the Pardon Attorney, U.S. Department of Justice, 500 First Street Northwest, Washington, D.C. 20530.

#### **Certificate of Rehabilitation**

A Certificate of Rehabilitation is a court order declaring that a person convicted of a felony is now rehabilitated. If a petition for a Certificate of Rehabilitation is granted, it is forwarded to the Governor by the court and constitutes the application for a pardon. The laws pertaining to the Certificate of Rehabilitation can be found in Penal Code sections 4852.01 to 4852.21. Receipt by the Governor of a Certificate of Rehabilitation does not guarantee that a pardon will be granted.

Generally, any person convicted of a felony who still resides in California may apply to the superior court in his or her county of residence for a Certificate of Rehabilitation, provided that he or she meets the requirements of demonstrated rehabilitation required by law. (Penal Code sec. 4852.06.)

Special laws apply to those convicted of sex offenses. Persons convicted of misdemeanor sex offenses specified in Penal Code section 290 may apply if the conviction has been dismissed under Penal Code section 1203.4. Persons convicted of felony offenses under Penal Code section 286(c), 288, 288a(c), 288.5, or 289(j) are not eligible for a Certificate of Rehabilitation. A Certificate of Rehabilitation may relieve some offenders from having to register as a sex offender under Penal Code section 290. For more information on 290 registration requirements, contact the California Department of Justice, Sexual Registration Unit.

#### Who May Apply

You are eligible to apply for a Certificate of Rehabilitation if you:

- 1. were convicted of a felony and served your sentence in a California prison; and
  - were discharged or released on parole prior to May 13, 1943; and
  - have not been incarcerated in a state penal institution since release; and
  - have resided for three years in California immediately prior to filing the petition.

#### OR

- 2. were convicted of a felony, or a misdemeanor sex offense specified in Penal Code section 290 that was dismissed under Penal Code section 1203.4; and
  - have been discharged from custody, parole, or probation; and
  - have not been incarcerated in any penal institution, jail, or agency since release;
     and
  - are not on probation for the commission of any other felony; and
  - have resided for five years in California immediately prior to filing the petition.

#### OR

- 3. were convicted of a felony after May 13, 1943; and
  - were sentenced to state prison; and
  - were discharged from custody or released on parole; and
  - have resided for five years in California immediately prior to filing the petition.

Persons who are not eligible to apply for a Certificate of Rehabilitation include:

- 1. those who do not meet the above requirements; or
- 2. those who were convicted of misdemeanors, except those convicted of a misdemeanor sex offense as discussed above; or

- 3. those who were convicted of sex offenses under Penal Code sections 286(c), 288, 288a(c), 288.5, or 289(j); or
- 4. those who are serving a mandatory life parole; or
- 5. those committed to prison under a death sentence; or
- 6. those persons in the military service.

#### When to Apply

Persons eligible for a Certificate of Rehabilitation may file a petition with the superior court in their county of residence once they have completed the period of rehabilitation. The period of rehabilitation begins to run upon discharge from incarceration or upon release on probation or parole. The period of rehabilitation requires five years residence in California, *PLUS*:

- 1. four years for persons convicted of Penal Code sections 187, 209, 219, 4500, or 12310, or Military and Veterans Code section 1672(a), or any other offense that carries a life sentence; or
- 2. five years for any person convicted of any offense for which sex offender registration is required under Penal Code section 290, except for convictions for violations of subdivision (b), (c), or (d) of section 311.2 or of section 311.3, 311.10, or 314 (these convictions require an additional two years); or
- 3. two years for any persons convicted of any offense not listed above and that does not carry a life sentence; or
- 4. any additional years ordered by the court if the person served consecutive sentences.

#### **Procedure for Applying**

The petition for Certificate of Rehabilitation must be filed in the superior court of the applicant's current county of residence. (Penal Code section 4852.06.) The Petition for Certificate of Rehabilitation can usually be obtained from the court clerk, probation department, or public defender's office. The petitioner is required to notify the district attorney in their county of residence and the district attorney of each county in which the petitioner was convicted of a felony. The notice must identify all crimes for which the person is requesting a Certificate of Rehabilitation. The form for sending these notices can also be obtained from the court clerk, probation department, or public defender.

Persons applying for the Certificate of Rehabilitation are entitled to assistance in processing their petitions from the county probation office(s), state parole office(s), and for persons under the age of 30, from the California Youth Authority. The person may also be represented by counsel of his or her own selection. If the person does not have counsel, he or she may be represented by the public defender, the probation department, or the court may assign counsel. (Penal Code section 4852.08.)

Once a petition is filed, the court will schedule a hearing. Before the hearing, the court may require an investigation by the district attorney. At the hearing, the court may require testimony and records pertaining to the petitioner, including information about the conviction offense and the person's conduct while incarcerated and since release.

If the court finds that the petitioner has demonstrated rehabilitation, the court may declare that the petitioner is rehabilitated. A certified copy of the Certificate of Rehabilitation issued by the court is transmitted to the Governor and becomes the application for a pardon.

Upon receipt of the application, the Governor may request that the Board of Prison Terms conduct its own investigation and prepare a report. If the petitioner has been convicted of more than one felony in separate proceedings, the California Supreme Court must approve granting a pardon before the Governor may do so.

#### **Traditional Pardon**

The traditional pardon procedure is available to those who are not eligible for a Certificate of Rehabilitation. This procedure is used primarily by those who were convicted of felonies in California and now reside outside the state. The traditional pardon procedure is also available to individuals who are not eligible for a Certificate of Rehabilitation because they have been convicted of sex offenses under Penal Code sections 286(c), 288, 288a(c), 288.5, or 289(j), and those convicted of misdemeanor offenses. The traditional pardon procedure is covered by Penal Code sections 4800-4813.

Applicants for a traditional pardon must write directly to the Governor's Office to request an application at the following address:

Governor's Office State Capitol Attention: Legal Affairs Secretary Sacramento, CA 95814

The applicant should complete the Application for Clemency and return it to the Governor's Office. In addition, the applicant must send Notice of Intention to Apply for a Traditional Pardon to the District Attorney of each county in which the applicant was convicted of a felony.

Applications may be forwarded by the Governor's Office to the Board of Prison Terms for investigation and recommendation.

If the applicant has been convicted of more than one felony in separate proceedings, the California Supreme Court must approve granting a pardon before the Governor may do so.

As with those who apply via a Certificate of Rehabilitation, there is no requirement that the Governor entertain granting a pardon, and the length of time needed to complete the pardon process cannot be accurately predicted.

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[ All felony convictions must be listed. If you have suffered more than three (3) felony convictions, attach additional sheets following the same format.]

	Most Recent Felony Conviction
On	or about , I was convicted of the crime of , Indicate crime and Penal Code Section ,
in tl	the county of , California. My sentence for this offense was:
	[ Check appropriate box ]
	Commitment to state prison or other state institution at ;  Name of institution or city where located ;
	Probation with suspended sentence to state prison or other state institution;
	Probation, after the sentencing proceedings were suspended.
The	ereafter, on or about , I was;
	[ Check appropriate box ]
	Discharged from state prison or other state institution after completing my sentence;
on	Released on parole, from which I was finally discharged ;
011	Discharge date Discharge date
	Released from custody on probation after serving a jail sentence;
	As a condition of my probation, I was released from custody after serving time in jail, and successfully
	completed my probation on , and obtained relief under Penal Code
	section 1203.4 on  Date 1203.4 granted by the Court

### **Second Most Recent Felony Conviction**

On or about, I was convicted of the crime of
in the county of , California. My sentence for this offense was:
[ Check appropriate box ]
Commitment to state prison or other state institution at  Name of institution or city where located
☐ Probation with suspended sentence to state prison or other state institution;
☐ Probation, after the sentencing proceedings were suspended.
Thereafter, on or about , I was;
[ Check appropriate box ]
☐ Discharged from state prison or other state institution after completing my sentence;
Released on parole, from which I was finally discharged on
Released from custody on probation after serving a jail sentence;
☐ As a condition of my probation, I was released from custody after serving time in jail, and successfull
completed my probation on, and obtained relief under Penal Cod
section 1203.4 on  Date 1203.4 granted by the Court
Third Most Recent Felony Conviction
On or about, I was convicted of the crime of
in the county of, California. My sentence for this offense was:
[ Check appropriate box ]
Commitment to state prison or other state institution at  Name of institution or city where located
☐ Probation with suspended sentence to state prison or other state institution;
☐ Probation, after the sentencing proceedings were suspended.
Thereafter, on or about , I was;
Date released from custody  [ Check appropriate box ]
☐ Discharged from state prison or other state institution after completing my sentence;
Released on parole, from which I was finally discharged on
Released from custody on probation after serving a jail sentence;
As a condition of my probation. I was released from sustady after serving time in iail, and suscessfull
As a condition of my probation, I was released from custody after serving time in jail, and successfull completed my probation on , and obtained relief under Penal Cod
Date probation ended
Section 1203.4 on Date 1203.4 granted by the Court

## RESIDENCY HISTORY I am now a resident of the State of California, and I have continuously resided in the State of California , to the present date. from APPLICANT'S DECLARATION During the period of my rehabilitation, I have lived an honest and upright life, conducted myself with sobriety and industry, and exhibited good moral character. I have conformed to and obeyed all the laws of the land. WHEREFORE, Your petitioner prays that the Court make its order and decree declaring that the petitioner has been rehabilitated; and for a Certificate of Rehabilitation recommending that the Governor of the State of California grant petitioner a full pardon; and that for such purpose, a time be appointed for the hearing of the foregoing petition; and that other and necessary proper orders may be made in the premises. Applicant's Signature Month Day, Year Applicant's Street Address

Applicant's City, State ZIP Code

FORM 1 (Revised 1/21/98)

#### **FORM 1 INSTRUCTIONS**

- 1. After completing the *Petition for Certificate of Rehabilitation and Pardon*, file it with the County Clerk's office in the county in which you reside. In every case, you must have resided continuously for **five** (5) years in this state prior to filing the petition.
- 2. The period of rehabilitation begins to run upon your discharge from custody or upon release on parole or probation, whichever is sooner. The period of rehabilitation shall constitute **five** (5) years residence in this state, **plus** a period of time determined by the following rules:
  - To the **five** (5) years there shall be added **four** (4) years in the case of any person convicted of violating Section 187, 209, 219, 4500, or 12310 of the penal code, or subdivision (a) of Section 1672 of the Military and Veterans Code, or any other offense which carries a life sentence.
  - To the **five** (5) years there shall be added **two** (2) years in the case of any person convicted of committing any offense not listed above and which does not carry a life sentence. (The majority of applicants require a **seven-year** rehabilitation period).
  - To the **five** (5) years the trial court hearing the application for the Certificate of Rehabilitation may add additional years when a person has served consecutive sentences. The amount of additional time will not exceed the sum of the maximum penalties for all the crimes.
  - Any person discharged after completion of his/her term or released on parole before May 13, 1943, is not subject to the periods of rehabilitation set forth in these rules.
- 3. If you were released on felony probation and successfully completed probation, you must obtain relief under Penal Code Section 1203.4 before applying for a Certificate of Rehabilitation.
- 4. You are entitled to be represented by an attorney of your own selection, or by the public defender. You are entitled to receive assistance from all rehabilitative agencies including officers from adult probation and parole, and for persons under the age of 30 years, from the Youth Authority.
- 5. It is unlawful for anyone, other than an attorney, to accept any fee, money or anything of value for their services in representing you in this proceeding.
- 6. You are not required to pay filing fees of any kind in connection with this proceeding.
- 7. When the Court sets a hearing date on your Petition, you are required to give notice of that date at least thirty (30) days before the hearing. You must formally notify the District Attorney for each county in which you have been convicted, and the Governor's Office.
- 8. A Certificate of Rehabilitation is not an automatic pardon; it is only an automatic application for a pardon.

#### IN THE SUPERIOR COURT OF THE STATE OF CALIFORNIA

IN AND FOR TH	HE COUNTY OF		
		Applicant's County of Resid	ence
In the Matter of the App	lication of		
			Court use only
Type Applicant's	Full Name - First Middle Last and Suffix, if applicable		
Date of Birth			
_	Month Day, Year		
CII Number		<u> </u>	
Criminal Case Number(s) _	List applicable Criminal Case Number(s)		
	Est applicable offininal dase Number(s)		
NOTICE OF FILING	OF PETITION FOR CERTIFICAT	E OF REHABILITA	TION AND PARDON
	Pursuant to Penal Code Sections 48	852.01 and 4852.06	
To the Governor of the	State of California:		
District Attorney, Count	v of		
District / Morrisy, Count	y ofCounty of Residence	,	
District Attorney, Count	y of	•	
	Most recent felony in county of conviction, if different		
District Attorney, Count	y of	For Managerials	
		tion, it applicable	
District Attorney, Count	y of	tion, if applicable	
		,	
You and Each of You W	/ill Please Take Notice That On the	day	•
		Of  Date you filed your Petition for Certifit	cate of Rehabilitation and Pardon
the undersigned has file	ad a natition in the above mentioned		
and	ed a petition in the above-mentioned	court(s) for a Certifica	ate of Renabilitation
Pardon in accordance w	vith the provision of Chapter 3.5, Titl	e 6, Part 3 of the Pen	al Code of the State of
		·	
	petition has, by said court, been se	t for a hearing on	day of
the			Day of hearing
	40.0000000000000		
	to commence at	∟ a.m. ∟ p.m. soon	., of said day, or as
Month, Year	Time of hearing		
as the matter can be he	eard, in its courtroom, department		at the courthouse
	_	Department	
in the city	,county		state of California.
Of City when	e hearing will be held Co	ounty where hearing will be held	<u>-</u>
,	·		
		1	
	Applicant's Signature		Month Day, Year
			ı
	Applicant's Street Address		
-	Applicant's City, State ZIP Code		
	, pp. 66.7, 0tdto 211 0000		

#### **AFFIDAVIT OF SERVICE BY MAIL**

#### **STATE OF CALIFORNIA**

City of	, County of	
I,  Full Name - First Middle Last and Su	being first duly sworr	n, deposes, and says:
I am a citizen of the United States, an	n over the age of 18 years, and am not a party	to the above-entitled
proceeding. I am a resident of the Co	county , State Califor	
My 🗌 residence 🗌 business address	S İS Street Address	
	City, State ZIP Code	
On the day ofMon	, I served the attached Notice to each	ch person listed below
Full Name - First Middle Last and Suffix, if applicable	Street Address	County
Full Name - First Middle Last and Suffix, if applicable	Street Address	County
Full Name - First Middle Last and Suffix, if applicable	Street Address	County
1	1	
Full Name - First Middle Last and Suffix, if applicable	Street Address	County
by placing a copy of this Notice in a s	ealed envelope and mailing it first class, postaç	ge pre-paid to each
person as listed above. There is a de	elivery service by United States mail at each of	the places so
addressed, or there is a regular comm	nunication by mail between the place of mailing	and each of the
places so addressed.		
Subscribed and sworn to before me to	his day of	onth, Year
	ED Notary Public - SI	
Full Name of Notary Public - TYPED or PRINTE		
In and for the City of	, County of	, California.

#### NOTICE OF SERVICE IN PERSON

Receipt of copy of this Notice is hereby admitted this	Day of the words	day of	Month, Year
Governor	Day of the month		wonth, Year
State C	apitol		
Legal Affair	S DIVISION		
Full Name of Governor's staff - TYPED or PRINTED		Govern	or's staff - SIGNATURE
		0010	5.63.4.1.61.2
Governor's staff - TITLE		- 1	Month Day, Year
Receipt of copy of this Notice is hereby admitted this		day of	Month, Year
	Day of the month		month, year
Full Name of District Attorney staff - TYPED or PRINTED		District At	torney staff - SIGNATURE
			•
County District Attorney		!	Month Day, Year
Receipt of copy of this Notice is hereby admitted this	Day of the month	day of	Month, Year
	buy of the month		month, red
Full Name of District Attorney staff - TYPED or PRINTED		District At	torney staff - SIGNATURE
1			
County District Attorney		!	Month Day, Year
Receipt of copy of this Notice is hereby admitted this	Day of the month	day of	Month, Year
,			
Full Name of District Attorney staff - TYPED or PRINTED		District At	torney staff - SIGNATURE
1			
County District Attorney		ı	Month Day, Year
Receipt of copy of this Notice is hereby admitted this	Day of the month	day of	Month, Year
1			
Full Name of District Attorney staff - TYPED or PRINTED		District At	torney staff - SIGNATURE
1			
County District Attornoy			Month Day Voor

#### FORM 2 INSTRUCTIONS

1.	copies to distribute one (1) copy to:
	<ul> <li>the Governor of California;</li> <li>the District Attorney in your county of residence where you filed your <i>Petition for Certificate of Rehabilitation and Pardon</i>, and;</li> <li>each District Attorney of the county in which you were convicted of a felony.</li> </ul>

- 2. This **Notice of Filing for Certificate of Rehabilitation and Pardon** must be served to all of the aforementioned individuals **at least thirty (30) days prior** to the date set for your hearing. You may do so by utilizing one or both of the following forms, in any combination necessary, as long as all of the aforementioned individuals have been served.
  - Affidavit of Service by Mail (Form 2A) If you intend to have a Notary Public mail a copy of the Notice of Filing for Certificate of Rehabilitation and Pardon to each of the aforementioned individuals, you may do so by having the Notary Public complete and sign the Affidavit of Service by Mail. Mailing procedures are outlined in the Affidavit.
  - Notice of Service in Person (Form 2B) If you intend to hand-deliver a copy to each of the
    aforementioned individuals, you may do so by utilizing this form and having each individual sign
    in the appropriate space indicating that a copy of the Notice of Filing for Certificate of
    Rehabilitation and Pardon was received.
- 3. After you have served all the aforementioned individuals, personally or by mail, file this completed Notice of Filing for Certificate of Rehabilitation and Pardon and the Affidavit of Service by Mail or Notice of Service in Person, or both, as the case may be, with the County Clerk's office in the county in which you reside.

### IN THE SUPERIOR COURT OF THE STATE OF CALIFORNIA

	FOR THE COUNTY OF	Applicant's County of Residence
In the Matter of the Ap	oplication of	
,		Court use only
Type Applica	nt's Full Name - First Middle Last and Suffix, if applicable	
Certificate Number		
Date of Birth		
Cll Number	Month Day, Year	
Criminal Case Number(s)		
	List applicable Criminal Case Number(s)	
	<b>CERTIFICATE OF R</b>	EHABILITATION
	Pursuant to Penal Coo	
The notition of		
The petition of	Type Applicant's Full Name - First Mid	die Last and Suffix, if applicable , presentity residing
at	Time Applicant's Street Address City State and 7IP Code	, heretofore filed, praying fo
		s of Chapter 3.5, Title 6 of Part 3 of the Penal Code
of the State of Califorr	nia, came on regularly for hearing	g on this day  Day of the Month
of		
		and proof having been made to the
satisfaction of the Co	urt that notice of the time of hea	and proof having been made to the
satisfaction of the Co	urt that notice of the time of hea	and proof having been made to the
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This form was prepared by the Investigations Division of the Board of Prison Terms pursuant to Penal Code Section 4852.18.